



August 2024

Business Continuity Plan

Union Square Advisors has established a business continuity plan (BCP) to address how the Firm will respond to external or unforeseen events that could temporarily cause the interruption of our business operations. This BCP is designed protect our employees, our clients and our partners by reducing the impact of such interruptions.

Based on the BCP, Union Square Advisors creates duplicate copies of all critical information – including Firm, client and regulatory information – on physical and/or electronic media, and stores these backup copies at remote locations. We conduct tests of our BCP procedures to ensure that this information stored offsite continues to be available to the Firm, and that it remains accurate. Certain of our software and communications systems and data are hosted and stored in secure, remote facilities, which provides additional protection for critical information should a business disruption occur at one of our principal office locations. We and our partners monitor these facilities, the equipment and systems operating there, the associated business data, and our communications access thereto, on an ongoing basis. We also maintain password and other networking and system security policies and procedures in order to prevent unauthorized access to these systems and data.

In the event of a business disruption that prevents our employees from accessing or using one or more of our office locations, Union Square Advisors has established policies and procedures for communicating with employees and for determining if and how they can perform essential business operations from an alternate location on a temporary basis. Union Square Advisors will make the decision to conduct operations from an alternate location as soon as practicable following a business disruption, based on the nature and severity of the precipitating event, and we will utilize various electronic, telephonic and physical means to communicate our operational status to our clients. Updates regarding our operational status will be posted on our website at www.usadvisors.com.

Business continuity planning is a continuous process. While no such plan can address every possible eventuality, we regularly evaluate our policies and procedures to ensure their effectiveness and comprehensiveness. Updates will be posted on our website and made available to clients upon written request.